

2018 Current Fiscal Year Report: Region IV Small Business Regulatory Fairness Board

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1. Department or Agency		2. Fiscal Year	
Small Business Administration		2018	
3. Committee or Subcommittee		3b. GSA Committee No.	
Region IV Small Business Regulatory Fairness Board		2212	
4. Is this New During Fiscal Year?	5. Current Charter	6. Expected Renewal Date	7. Expected Term Date
No	01/29/2018	01/29/2020	
8a. Was Terminated During Fiscal Year?	8b. Specific Termination Authority	8c. Actual Term Date	
No	P.L. 101-121		
9. Agency Recommendation for Next Fiscal Year	10a. Legislation Req to Terminate?	10b. Legislation Pending?	
Continue	No	Not Applicable	
11. Establishment Authority Statutory (Congress Created)			
12. Specific Establishment Authority	13. Effective Date	14. Committee Type	14c. Presidential?
Section 30(c) of the Small Business Act (15 U.S.C. 657(c)	03/29/1996	Continuing	No
15. Description of Committee Non Scientific Program Advisory Board			
16a. Total Number of Reports	No Reports for this Fiscal Year		
17a. Open Meetings and Dates	17b. Closed Meetings and Dates	17c. Partially Closed Meetings and Dates	17d. Total Other Activities
No Meetings			

	Current FY	Next FY
18a(1). Personnel Pmts to Non-Federal Members	\$0.00	\$0.00
18a(2). Personnel Pmts to Federal Members	\$5,000.00	\$5,000.00
18a(3). Personnel Pmts to Federal Staff	\$0.00	\$0.00
18a(4). Personnel Pmts to Non-Member Consultants	\$0.00	\$0.00
18b(1). Travel and Per Diem to Non-Federal Members	\$0.00	\$3,000.00
18b(2). Travel and Per Diem to Federal Members	\$0.00	\$0.00
18b(3). Travel and Per Diem to Federal Staff	\$0.00	\$0.00
18b(4). Travel and Per Diem to Non-member Consultants	\$0.00	\$0.00
18c. Other(rents,user charges, graphics, printing, mail, etc.)	\$0.00	\$0.00

18d. Total	\$5,000.00	\$8,000.00
19. Federal Staff Support Years (FTE)	0.50	0.50

20a. How does the Committee accomplish its purpose?

The Regional Board may convene public hearings and collect information from the small business community on its experiences with enforcement or compliance activities with Federal agencies. Additionally the Board, through the National Ombudsman, hears from Federal agency representatives and makes inquiries to the agencies on matters of concern to small business.

20b. How does the Committee balance its membership?

The Small Business Regulatory Enforcement Fairness Act of 1996 (SBREFA) requires that the Board consist of 5 members, who are owners, operators or officers of small businesses. Not more than 3 members shall be from the same political party. Executive Branch and congressional employees are precluded from membership.

20c. How frequent and relevant are the Committee Meetings?

The Regional Regulatory Fairness Boards convene an Annual Meeting once a year. Additionally, the Board holds at least one public hearing per year to hear from small businesses and trade associations. The hearing may take place in Washington DC or other regions across the Nation. Board Members may participate in roundtables and hearings held in their respective regions. The Board Member also participates in conference calls with the National Ombudsman periodically.

20d. Why can't the advice or information this committee provides be obtained elsewhere?

The Boards' advisory functions are mandated by SBREFA. The Boards provide an opportunity for representative of small businesses to come together on a regional basis and assess the enforcement and compliance activities of Federal agencies, evaluate the performance and make recommendations on ways to improve the enforcement and compliance environment for small businesses.

20e. Why is it necessary to close and/or partially closed committee meetings?

All meetings are open to the public and are advertised in the Federal Register.

21. Remarks

ONO performance measures did not change from FY17 to FY18.

Designated Federal Officer

Mina A. Wales Deputy National Ombudsman

Committee Members	Start	End	Occupation	Member Designation
Bradford, Dennis	05/14/2018	05/14/2021	Island Investment Realty	Representative Member
Edwards, Albert	05/14/2018	05/14/2021	CERM	Representative Member
Montanez, Moises	09/07/2018	09/07/2021	ALTA Home Remodeling CO	Representative Member

Number of Committee Members Listed: 3

Narrative Description

The Board meets periodically to discuss concerns regarding unfair Federal regulation enforcement actions taken against small businesses.

What are the most significant program outcomes associated with this committee?

Checked if Applies

Improvements to health or safety	<input type="checkbox"/>
Trust in government	<input checked="" type="checkbox"/>
Major policy changes	<input type="checkbox"/>
Advance in scientific research	<input type="checkbox"/>
Effective grant making	<input type="checkbox"/>
Improved service delivery	<input checked="" type="checkbox"/>
Increased customer satisfaction	<input checked="" type="checkbox"/>
Implementation of laws or regulatory requirements	<input checked="" type="checkbox"/>
Other	<input type="checkbox"/>

Outcome Comments

N/A

What are the cost savings associated with this committee?

Checked if Applies

None	<input checked="" type="checkbox"/>
Unable to Determine	<input type="checkbox"/>
Under \$100,000	<input type="checkbox"/>
\$100,000 - \$500,000	<input type="checkbox"/>
\$500,001 - \$1,000,000	<input type="checkbox"/>
\$1,000,001 - \$5,000,000	<input type="checkbox"/>
\$5,000,001 - \$10,000,000	<input type="checkbox"/>
Over \$10,000,000	<input type="checkbox"/>
Cost Savings Other	<input type="checkbox"/>

Cost Savings Comments

N/A

What is the approximate Number of recommendations produced by this committee for the life of the committee?

37

Number of Recommendations Comments

1. Several recommendations came with costs that need to be evaluated and require additional funding above the FY18 budget authorized for ONO.2. Several recommendations fell outside the scope of ONO's authority. ONO is continuing to share those recommendations with Program Offices with jurisdictional authority over those matters.3. Several recommendations require Board members to initiate action.4. ONO is continuing to evaluate recommendations to determine their feasibility.

What is the approximate Percentage of these recommendations that have been or will be Fully implemented by the agency?

16%

% of Recommendations Fully Implemented Comments

N/A

What is the approximate Percentage of these recommendations that have been or will be Partially implemented by the agency?

51%

% of Recommendations Partially Implemented Comments

N/A

Does the agency provide the committee with feedback regarding actions taken to implement recommendations or advice offered?

Yes ☐ No ☐ Not Applicable ☒

Agency Feedback Comments

NA

What other actions has the agency taken as a result of the committee's advice or recommendation?

	Checked if Applies
Reorganized Priorities	<input type="checkbox"/>
Reallocated resources	<input type="checkbox"/>
Issued new regulation	<input type="checkbox"/>
Proposed legislation	<input type="checkbox"/>
Approved grants or other payments	<input type="checkbox"/>
Other	<input type="checkbox"/>

Action Comments

N/A

Is the Committee engaged in the review of applications for grants?

No

Grant Review Comments

N/A

How is access provided to the information for the Committee's documentation?

	Checked if Applies
Contact DFO	<input checked="" type="checkbox"/>
Online Agency Web Site	<input checked="" type="checkbox"/>
Online Committee Web Site	<input type="checkbox"/>
Online GSA FACA Web Site	<input type="checkbox"/>
Publications	<input type="checkbox"/>
Other	<input type="checkbox"/>

Access Comments

N/A